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Investment Company,  
License Exam Manual (Passtrak  
Series 6)  
Variable Contracts,  
Limited  
Representative: License  
Exam Manual  
(Passtrak Series, 6)

*The go-to guide to acing the Series 6 Exam! Passing the Series 6 Exam qualifies an individual to function as an agent of a broker dealer and allows the limited representative to transact business in mutual funds (closed-end funds on the initial offering only), unit investment trusts, variable annuities, and variable life*

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Series 6 Test Practice Questions &  
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En los Estados Unidos, para registrarse ante la NASD como Representante de una Compañía de Inversión de Contrato Variable Limitado y calificar como vendedor de valores y contratos variables de inversión, antes debe aprobar el examen de la Serie 6.

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Passtrak Series 6 Investment  
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The Series 6 exam, also called the FINRA Investment Company Products and Variable Contracts Limited Representative Examination, has a daunting reputation for a reason: it is the exam that qualifies individuals to sell securities of companies registered under the Investment Company Act of 1940. This means redeemable securities (mutual funds, unit investment trusts) and variable contracts (variable annuities, variable life insurance), as well as closed-end funds at their initial offering. How are you going to scale this mini-mountain of information? Let Solomon Exam Prep guide you through the material as efficiently and painlessly

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Investment Company, Variable Contracts, Limited Representative

The Investment Company and Variable Contracts Products Principal Examination Questions & answers

Series 6 Exam Textbook Principles and Practices

The Investment Company and Variable

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Series 6) Exam Practice

Questions are the simplest way to prepare for the Investment Company Products/Variable Contracts Limited Representative Qualification Exam. Practice is an essential part of preparing for a test and improving a test taker's chance of success. The best way to practice taking a test is by going through lots of practice test

questions. Our Series 6 Exam Practice Questions give you the opportunity to test your knowledge on a set of questions. You can know everything that is going to be covered on the test and it will not do you any good on test day if you have not had a chance to practice. Repetition is a key to success and using practice test questions allows you to reinforce your strengths and improve your weaknesses. Detailed answer explanations are

also included for each question. It may sound obvious, but you have to know which questions you missed (and more importantly why you missed them) to be able to avoid making the same mistakes again when you take the real test.

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This book is designed to prepare students to pass the FINRA Series 6 Exam. The book is broken down into 4 chapters, which includes chapter exams with detailed explanations. This book also has 4 practice final exams with detailed explanations. Exam Facts Series 6 Investment Company Variable Contracts Limited Representative Exam

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Investment Company

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Study Outline

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qualifies an individual to operate  
as a principal responsible for  
supervising and managing a firm  
and its agents conducting business*

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*in open-end mutual funds, variable annuities, and life insurance. The exam covers hiring and qualification, training of representatives, supervision, sales practices, and business processing and recordkeeping rules. A principal must have passed the Series 6 or Series 7 Exams prior to taking the Series 26. Created by the experts at The Securities Institute of America, Inc., Wiley Series 26 Exam Review 2013 arms you with everything you need to pass this long 110-question multiple-choice test. Designed to help you build and fine-tune your knowledge of all areas covered in the exam and to guarantee that you're prepared mentally and*



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*The Investment Company Products/Variable Contracts Limited Representative exam, or the Series 6, qualifies an individual who will function as a principal for the solicitation, purchase, and/or sale of redeemable securities of companies registered pursuant to the Investment Company Act of 1940; securities of closed-end companies registered pursuant to the Investment Company Act of 1940 during the period of original distribution only; and variable contracts and insurance premium funding programs and other*

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*contracts issued by an insurance company. This qualification allows transactions on the following:*

*Mutual funds (closed-end funds on the initial offering only); variable annuities; variable life insurance; unit investment trusts; municipal fund securities (e.g., 529 College Savings Plans, Local Government Investment Pools (LGIPs), Coverdell Education Savings Accounts). A Series 6 Registered Representative may conduct business in mutual funds and variable contracts. Each book is accompanied by an online test bank with 201 questions, organized by chapter. The questions included in the book have been included in this online*

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Customers Product Information;  
Investment Company Securities  
and Variable Contracts Opening  
and Servicing Customer Accounts  
Test: 100 multiple-choice  
questions; Two hours and fifteen  
minutes to complete exam;  
Candidate must answer 70% of the  
questions correctly to pass. There  
are no pre-requisites to take the  
Series 6 exam, but candidates  
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know to help you pass this FINRA  
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the necessary information you  
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the Facts you need to conquer this***

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*Variable Contracts Limited*

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Principal Qualification  
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supervision, sales**



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***Principal Qualification***

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***managing a firm and its***

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***covers hiring and***

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